

Please Print or Type

IMPORTANT INFORMATION:

Please check with your current custodian for their Transfer/Rollover Requirements.

Forward To: First Trust Retirement, c/o DST Systems, Inc.

Regular Mail

PO Box 219554
 Kansas City, MO 64121-9554
 855-387-3847

Overnight Delivery

Mail Stop: Pacific Office Properties
 430 West 7th Street
 Kansas City, MO 64105-1407

Step 1: IRA OWNER INFORMATION

IRA Owner Name	Social Security Number	Existing FTR Account Number <i>(if applicable)</i>
Address	City / State / Zip	Phone Number

Step 2: CURRENT CUSTODIAN NAME & ADDRESS

Funds are staying with First Trust Retirement as Custodian, changing investments only. (Please provide investment name & account number.)

Current Custodian Name	Current Custodian Phone Number	Current Investment Name/Fund Number
Current Custodian Address- <i>Physical Address Required for Overnight Delivery</i>		City / State / Zip
Fax Number	<input type="checkbox"/> Transfer/Rollover Request Form is acceptable to fax to resigning custodian.	Current Custodian Account Number to be transferred

Please include a copy of your current statement.

Step 3: TYPE OF TRANSFER/ROLLOVER

- | | |
|---|--|
| <input type="checkbox"/> Transfer from Traditional IRA
<input type="checkbox"/> Transfer from SEP IRA
<input type="checkbox"/> Transfer from Roth IRA

Are any of these funds/assets from an Inherited Account?
<input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Rollover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan*
*Your employer may require additional forms to process your request.

<input type="checkbox"/> Custodian specific form included. FTR will include with the Letter of Acceptance sent to resigning custodian. <i>(This alerts FTR to include required additional documentation.)</i> |
|---|--|

Step 4: TRANSFER INSTRUCTIONS TO CURRENT CUSTODIAN (check all that apply)

If a check needs to be issued, please issue the check payable to First Trust Retirement.

Transfer of Assets

Select this option for a transfer of the assets and/or cash listed in Step 2, by moving assets out of the current investment and deliver them either to First Trust Retirement (FTR) as a new custodian *OR* staying with FTR and changing investments.

Amount to Liquidate: All Partial liquidation of \$ _____

Transfer in Kind

Select this option for a Transfer in Kind from the account listed in Step 2 that will change the custodian to FTR only, and leave the IRA assets within the current investment.

Amount to Transfer: All Partial transfer of _____ shares

Cash proceeds to liquidate* *(if applicable)* All Partial transfer of \$ _____

**Only select the cash proceeds option, if you wish the resigning custodian to liquidate additional cash, as well as honor the Transfer in Kind.*

Existing FTR Undirected Cash Account

Select this option to transfer cash funds from the existing FTR Undirected Cash Account and deposit into a new investment remaining with FTR.

Amount to Liquidate: All Partial transfer of \$ _____

Step 5: SIGNATURE REQUIRED

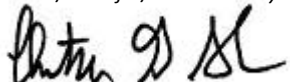
Medallion Signature Guarantee Below*

**FTR cannot MSG without witnessing Investor's signature execution. Only obtain if required by Current Custodian Listed in Step 2*

IRA Owner Signature	Date

BELOW FOR INTERNAL USE ONLY

Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:

By: 
 First Trust Retirement Authorized Signature

