

Please Print or Type

Forward To: First Trust Retirement, c/o DST Systems, Inc.

This form should be used for directing payment of RIA fees that you may owe to your investment advisor from your Undirected Cash account.

Regular Mail or Overnight Delivery
 Please return form to the product sponsor specific mailing address available on the IRA toolkit or by visiting FTR's [contact page](#).

Step 1: Name & Address

IRA Owner Name	Social Security Number	Account Number
Address	City / State / Zip	Phone Number

Step 2: RIA Fee Acknowledgement & Signature

By checking this box and signing below, I hereby instruct and authorize First Trust Retirement to make a check payable to the below instructions, for \$_____ from my Undirected Cash account.

I understand and acknowledge that any and all RIA fees payable to my investment advisor are my sole responsibility. First Trust Retirement will pay the amount directed by me as an accommodation and that First Trust Retirement is not undertaking any RIA obligations to me as a result of this service for me.

I represent that I have an effective written RIA agreement requiring the payment by me to my investment advisor of an RIA fee calculated on an annual basis as a stated percentage of the value of my assets under management by my investment advisor and the Advisory Fee is not contingent on any level of performance or payments by First Trust Retirement. It remains fixed regardless of the frequency or amount of any payments by First Trust Retirement to me.

I acknowledge that this fee payment may not completely satisfy the RIA fee if there is not a sufficient amount of funds available in my Undirected Cash account.

I acknowledge that this fee payment is not a taxable distribution and will not reflect on the 1099-R issued by First Trust Retirement for my IRA.

Investor Signature	Date (Required)
--------------------	-----------------

Step 3: RIA Fee Payee Instructions

Payee Name	Account Number (If applicable)
Address	City / State / Zip

Step 4: Investment Advisor Agreement Signature

I represent that I have an effective written RIA agreement requiring the payment by the investor to me of an RIA fee calculated on an annual basis as a stated percentage of the value of the investor's assets under management by me and the Advisory Fee is not contingent on any level of performance or payments by First Trust Retirement. It remains fixed regardless of the frequency or amount of any payments by First Trust Retirement to the investor.

I acknowledge that this payment may not completely satisfy the RIA fee if there is not a sufficient amount of funds available in the investors Undirected Cash account.

I represent and covenant that in no event will the aggregate amount in one single year of the amounts transmitted by First Trust Retirement to me pursuant to this form and any other payments of the RIA Fee by the investor to me, exceeds the amount of the RIA Fee to which I am entitled in accordance with the terms of the RIA Agreement and applicable law, and I will promptly notify First Trust Retirement, if such event occurs, or is reasonably likely to occur.

I represent and covenant that the RIA Fee and the partial fee payment arrangement in this instruction form are in accordance with all applicable requirements of the Investment Advisers Act of 1940 and other laws applicable to me.

Investment Advisor Signature	Date
------------------------------	------